

Modal Mapping in A Complex Shallow Water Environment

George V. Frisk

Department of Applied Ocean Physics and Engineering

Woods Hole Oceanographic Institution

Woods Hole, MA 02543

phone: (508) 289-2383 fax: (508) 457-2194 e-mail: gfrisk@whoi.edu

Award #: N00014-96-1-0422

LONG-TERM GOAL

The long-term goal of this research is to increase our understanding of shallow water acoustic propagation and its relationship to the three-dimensionally varying geoacoustic properties of the seabed.

OBJECTIVES

The scientific objectives of this research are: (1) to develop high-resolution methods for characterizing the spatial and temporal behavior of the normal mode field in shallow water; (2) to use this characterization as input data to inversion techniques for inferring the acoustic properties of the shallow water waveguide; and (3) to use this characterization to improve our ability to localize and track sources.

APPROACH

An experimental technique is being developed for mapping the wavenumber spectrum of the normal mode field as a function of position in a complex, shallow water waveguide environment whose acoustic properties vary in three spatial dimensions. By describing the spatially varying spectral content of the modal field, the method provides a direct measure of the propagation characteristics of the waveguide. The resulting model maps can also be used as input data to inverse techniques for obtaining the acoustic properties of the waveguide. The experimental configuration consists of a fixed source radiating one or more pure tones to a field of freely drifting buoys, each containing a hydrophone, GPS and acoustic navigation, and radio telemetry. In this context, two-dimensional modal maps in range *and* azimuth, as well as three-dimensional bottom inversion in range, depth, *and* azimuth, become achievable goals.

WORK COMPLETED

The Modal Mapping Experiment (MOMAX) was conducted aboard the R/V Endeavor during the period 21 March - 3 April 1997. A series of eight experiments was carried out in the East Coast STRATAFORM/SWARM area off the New Jersey coast in about 70 m of water. Three drifting buoys received signals at ranges of up to 10 km from sources deployed in one of two configurations: (1) an NRL J15-3 source suspended from the ship (drifting or underway) at a depth of 30 m and transmitting pure tones at 50, 75, 125, and 175 Hz; and (2) a Webb source moored 1 m above the bottom and radiating pure tones at 200 and 300 Hz. In both cases, the nominal source level was 170 dB re 1 μ Pa @ 1 m. In addition to the acoustic measurements, the following environmental data were recorded: (1) 3-6 kHz chirp sonar subbottom data along every buoy and source track; (2) numerous CTD casts

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 1998		2. REPORT TYPE		3. DATES COVERED 00-00-1998 to 00-00-1998	
4. TITLE AND SUBTITLE Modal Mapping in a Complex Shallow Water Environment				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Woods Hole Oceanographic Institution, Woods Hole, MA, 02453				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited					
13. SUPPLEMENTARY NOTES See also ADM002252.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 3	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

throughout the region; and (3) Seamon temperature logger data at several depths on each drifter buoy and on the Webb source mooring.

RESULTS

This year our work focused on the reduction and preliminary analysis of the MOMAX data set. This effort concentrated on four primary areas: (1) The merging of the large volume of pressure field and navigation measurements as a function of time to obtain pressure field data vs. position. (2) The application of high-resolution spectral estimation techniques that enable the transformation of these data from the space domain to the wavenumber domain over short spatial apertures, thereby generating modal maps which show the spatial variability of the waveguide. (3) The initial development of a theory for explaining the remarkable stability and regularity of the measured signal phases, even though the magnitudes exhibit complex, multimodal interference patterns. (4) The demonstration, using the MOMAX GPS data, that the distance to drifting buoys several kilometers away from a moving ship can be determined to centimeter-level accuracy over a broad range of sea states.

In a related effort, we conducted extensive numerical simulations of a new bottom impedance measurement technique under development in our group and thereby demonstrated its great potential for solving the problem of measuring bottom loss at mid-to-high frequencies in shallow water. This technique has an advantage over other shallow water bottom characterization methods in that it does not require the identification of individual modes or ray paths.

IMPACT/APPLICATIONS

The experimental configuration consisting of a CW source and freely drifting buoys will provide a simple way to characterize a shallow water area and may be useful in survey operations. In addition, the planar, synthetic receiving array may offer an effective new technique for localizing and tracking CW sources in shallow water.

TRANSITIONS

The synthetic aperture technique and Hankel transform inversion methodology which underlies the modal mapping method has been implemented in the ACT II experiment, sponsored by DARPA and ONR. This approach has also been adopted by several research groups internationally.

RELATED PROJECTS

MOMAX was conducted in the same area off the New Jersey coast where the ONR-sponsored STRATAFORM and SWARM experiments were carried out. The extensive geophysical, seismic, acoustic, and oceanographic data obtained in the latter two experiments will be used to ground truth the MOMAX measurements.

In addition, a collaborative effort was initiated with Professor Joyce McLaughlin's group in the Mathematical Sciences Department at the Rensselaer Polytechnic Institute. We are working together to apply exact, analytic inverse techniques developed with partial ONR support by her group to the

problem of inverting for the geoacoustic properties of the seabed using our shallow water acoustic measurements as input data.

REFERENCES

G.V. Frisk, "A Review of Modal Inversion Methods for Inferring Geoacoustic Properties in Shallow Water," invited paper in Full Field Inversion Methods in Ocean and Seismo-Acoustics, edited by O. Diachok, A. Caiti, P. Gerstoft, and H. Schmidt (Kluwer, Netherlands, 1995).

K. Ohta and G.V. Frisk, "Modal Evolution and Inversion for Seabed Geoacoustic Properties in Weakly Range-Dependent, Shallow-Water Waveguides," *IEEE J. Oceanic Engineering Special Issue on Shallow-Water Acoustics II*, **22**, 501-521 (1997).

PUBLICATIONS

G.V. Frisk, "A Technique for Measuring Bottom Acoustic Impedance in Shallow Water," in Proceedings of the Fourth European Conference on Underwater Acoustics, edited by A. Alippi and G.B. Cannelli, 511-516 (Italian National Research Council, Rome, 1998).

J.A. Doult, G.V. Frisk, and H. Martell, "Determination of Distance Between a Moving Ship and Drifting Buoys to Centimeter-Level Accuracy at Sea Using L1 Phase Receivers and Differential Moving-Base Kinematic Processing," in *Proceedings of the Institute of Navigation GPS-98 Conference*, Nashville, Tennessee, 6 pages (15-18 September 1998).

J.A. Doult, G.V. Frisk, and H. Martell, "Using GPS at Sea to Determine the Range Between a Moving Ship and a Drifting Buoy to Centimeter-Level Accuracy," in *Proceedings of the Oceans '98 Conference*, Nice, France, 4 pages (28 September – 1 October 1998).

D. Li, D. Tang, and G.V. Frisk, "Evaluation of Sound Propagation Models Used in Bottom Volume Scattering Studies," submitted to *J. Acoust. Soc. Am.*

D. Li, G.V. Frisk, and D. Tang, "Modeling of Bottom Backscattering from Three-Dimensional Volume Inhomogeneities and Comparisons with Experimental Data," submitted to *J. Acoust. Soc. Am.*